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PTO/SB/05 (12/97) Please type a plus sign (+) inside this box → + Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 236.8 Total Pages UTILITY First Named Inventor or Application Identifier PATENT APPLICATION Herold, Jeffrey **TRANSMITTAL** (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL651532000US Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form 6. Microfiche Computer Program (Appendix) (Submit an original, and a duplicate for fee processing) Specification [Total Pages 7. Nucleotide and/or Amino Acid Sequence Submission (preferred arrangement set forth below) (if applicable, all necessary) - Descriptive title of the Invention Computer Readable Copy - Cross References to Related Applications - Statement Regarding Fed sponsored R & D b. Paper Copy (identical to computer copy) - Reference to Microfiche Appendix c. Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description 8 Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement 9 Power of Attorney - Abstract of the Disclosure (when there is an assignee) Drawing(s) (35 USC 113) [Total Sheets English Translation Document (if applicable) Information Disclosure Copies of IDS 4. Oath or Declaration Total Pages Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) 12. Preliminary Amendment Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] Return Receipt Postcard (MPEP 503) 13 (Should be specifically itemized) Small Entity DELETION OF INVENTOR(S) Statement filed in prior application, 14. Signed statement attached deleting Statement(s) Status still proper and desired inventor(s) named in the prior application, Certified Copy of Priority Document(s) 15. see 37 CFR 1.63(d)(2) and 1.33(b). (if foreign priority is claimed) Incorporation By Reference (useable if Box 4b is checked) 16. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: Continuation Divisional Continuation-in-part (CIP) of prior application No: 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Labe Correspondence address below Joseph Page 24734 NAME P.O. Box 757 **ADDRESS** CITY La Jolla STATE CA ZIP CODE 92038 COUNTRY US **TELEPHONE** 619 702 4471 FAX 619 702 4538

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## **FEE TRANSMITTAL**

for FY 1999

Patent fees are subject to annual revision.
Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** 

(\$)	3	5	5
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Complete if Known			
Application Number			
Filing Date			
First Named Inventor	Herold, Jeffrey		
Examiner Name			
Group / Art Unit			
Attorney Docket No.	236.8		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES Large Entity Small Entity			
Deposit Account Number 500296	Code (\$) Code (\$)  105 130 205 65 Surcharge - late filling fee or oath	Fee Paid		
Deposit	127 50 227 25 Surcharge - late provisional filling fee or			
Account Page Lohr Associates	cover sheet.			
Ivaine	139 130 139 130 Non-English specification			
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination			
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
Check Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE	116 380 216 190 Extension for reply within second month			
Large Entity Small Entity	117 870 217 435 Extension for reply within third month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,360 218 680 Extension for reply within fourth month			
101 760 201 380 Utility filing fee	128 1,850 228 925 Extension for reply within fifth month			
106 310 206 155 Design filling fee	119 300 219 150 Notice of Appeal			
107 480 207 240 Plant filing fee	120 300 220 150 Filing a brief in support of an appeal			
108 760 208 380 Reissue filing fee	121 260 221 130 Request for oral hearing			
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 355	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141 1,210 241 605 Petition to revive - unintentional			
Fee from	142 1,210 242 605 Utility issue fee (or reissue)			
Extra Claims below Fee Paid Total Claims 20** = X	143 430 243 215 Design issue fee 144 580 244 290 Plant issue fee			
Independent 3** -	122 130 122 130 Petitions to the Commissioner			
Claims	100 50 400 50			
**or number previously paid, if greater; For Reissues, see below	126 240 496 240			
Large Entity Small Entity	581 40 581 40			
Fee Fee Fee Fee Description Code (\$) Code (\$)	property (times number of properties)			
103 18 203 9 Claims in excess of 20	146 760 246 380 Filing a submission after final rejection			
102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid	(37 CFR § 1.129(a)) 149 760 249 380 For each additional invention to be			
109 78 209 39 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))  Other fee (specify)			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)			
SUBTOTAL (2) (\$) 0	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	0		

SUBMITTED BY Complete (if applicable)					applicable)
Name (Print/Type)	Joseph Page	Registration No. (Attorney/Agent)	35,311	Telephone	619 702 4471
Signature				Date	12/10/00

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